

110303 13281 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION-IN-PART APPLICATION UNDER 37 C.F.R. § 1.53(b)

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Customer Number 2 1 8 3 9

Sir:

17510 U.S. PTO  
10/698439

11/03/03

This is a request for filing a Continuation-In-Part application under 37 C.F.R. § 1.53(b) of pending Application No. PCT/US01/05493, filed on February 22, 2001, for:

New Intergenic and Intragenic Integration Sites for Foreign Gene Expression in Recombinant S. Gordinii Strains

by the following named inventor(s):

Christine A. Franke, Tove C. Bolken, Kevin F. Jones, and Dennis E. Hruby

☐ Applicant(s) hereby requests that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

☐ Applicant(s) suggests Figure \_\_\_\_\_ for inclusion on the front page of the patent application publication and/or patent.

☐ Applicant(s) requests that the published application include the following assignment information:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

☐ Small entity status is hereby claimed.

☒ New drawings are enclosed.

Also enclosed are:

**DRAWINGS:** 10 sheets of formal drawings \_\_\_\_\_ sheets of informal drawings

**DECLARATION:** ☐ will follow ☐ executed, is enclosed ☒ unexecuted, is enclosed

**ASSIGNMENT:** ☐ is enclosed ☒ will follow

**CLAIM FOR  
PRIORITY:**☐ Priority of the following application(s) is claimed under 37 U.S.C. § 119:

Country	Appl. No.	Filing Date
_____	_____	DD-MM-YYYY

☐ certified copy(ies) enclosed ☐ certified copy(ies) will follow  
☐ certified copy was filed in prior Application No. \_\_\_\_\_

**OTHER  
PAPERS:**

☐ a General Authorization for Petitions for Extensions of Time and Payment of Fees  
☐ an Information Disclosure Statement  
☐ a Preliminary Amendment  
☐

☒ The filing fee is calculated below ☐ and in accordance with the enclosed preliminary amendment:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fee
Basic Application Fee (1001)					\$ 770.00
Total Claims	24	MINUS 20 =	4	x \$18.00 (1202) =	\$ 72.00
Independent Claims	2	MINUS 3 =	0	x \$86.00 (1201) =	\$ 0.00
If multiple dependent claims are presented, add \$290.00 (1203)					
Total Application Fee					\$ 842.00
<input type="checkbox"/> Small Entity Status claimed - subtract 50% of Total Application Fee					\$ 0.00
Add Assignment Recording Fee of \$40.00 (8021) if Assignment document is enclosed.					
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 842.00</b>

- ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$ 842.00 is enclosed for the fee due.
- ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

- ☒ The power of attorney in the prior application is to Teresa S. Rea and Malcolm K. McGowan
- a. ☐ The power appears in the original papers in the prior application.
- b. ☒ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Recognize as Associate Attorney \_\_\_\_\_
- d. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

Burns, Doane, Swecker & Mathis, L.L.P.  
 Customer Number **2 1 8 3 9**  
 P.O. Box 1404  
 Alexandria, Virginia 22313-1404

November 3, 2003

Date

By:



Malcolm K. McGowan, Ph.D.

Registration No. 39,300

Address of  
signator:

Burns, Doane, Swecker & Mathis, L.L.P.  
 P.O. Box 1404  
 Alexandria, Virginia 22313-1404  
 (703) 836-6620

- ☐ inventor(s)  
☐ assignee of complete interest  
☒ attorney or agent of record  
☐ filed under 37 C.F.R. § 1.34(a)